

Fee Schedule

Commissions:

\$45 minimum commission on equity trades
 Mutual fund commissions set by the mutual fund company.
 Option trades: \$1.50 per contract with \$45 minimum commission

Portfolio Evaluation System: \$24 Annually
 -Upgraded statement and online access which includes cost basis and Gains/losses

IRA Annual Fee: \$48.5
 -Charged on anniversary of account opening

SARSEP, Simple IRA, Qualified Retirement Plans, 403(b)(7) custodial accounts & custodial accts plans annual fee: \$58.5

Mutual Fund Only IRA Traditional, Roth and Simple Annual Fee: \$12

IRA Termination Fee: \$95

Margin Extensions: \$10 per event

Returned Checks: \$20 per event
 ACH Returned Checks: \$20 per event
 Resource Checking Returned Checks: \$20 per event
 Resource Checking Stop Payment: \$10 per event

Paper Mailing Fee	Confirmation	\$1
	Statement	\$1

Reorganization Activities-Voluntary: \$50 per event

Reorganization Activities-Mandatory: \$10 per event

Bond Maturity/Call Fee: \$5 per event

Custody Fee: \$50 per inactive account, per year

Mutual Fund only accounts \$25 per year

Transfers:

Legal/GNMA Transfers: \$60 per transfer
 Restricted Legal Transfers: \$60 per transfer

Register & Ship:

Direct Registration System: \$10 per transfer
 Accommodation Transfers: \$60 per transfer
 Full Outgoing Account Transfers: \$65 per item

DK Items: \$10 per item

Foreign Receive and Deliver Fees:

EuroClear: \$75 per item
 All Other Foreign Securities: \$75 per item
 Customer Name Safekeeping: \$10 per acct, per position, per month
 Foreign Securities Safekeeping: \$10 per acct, per position, per month
 Precious Metal Storage Fee: 75 basis points of market value per annum, pre-billed on a quarterly basis

Wired Funds: \$20 per wire transfer

Mutual Fund & SRS Exchanges (Includes Confirm Fee): \$10 per exchange

Fixed Income Certificate Costs: as incurred

Fractional Share Equity Dividend Reinvestments: \$1 per item

Margin Debit Interest Schedule:

<u>Net Debit Balance:</u>	<u>Standard Surcharge Over PBLR:</u>
\$0 - \$9,999	2.75%
\$10,000 - \$29,999	2.00%
\$30,000 - \$49,999	1.50%
\$50,000+	0.75%

AMA Check-Writing Accounts Annual Fee:

Gold Acct: \$75
 Gold Corporate Acct: \$150
 Platinum Acct: \$125
 Platinum Corporate Acct: \$195
 Silver: \$25