

SEC T+1 Customer Readiness Confirmation

Dear Valued Client,

We have created the short questionnaire below to identify your plans for the upcoming change to T+1 settlement. We want to ensure that we are able to satisfy matching, affirmation, and settlement requirements while supporting your trade needs. Please respond to the questions below and return to us.

Email: frontoffice@crewsfs.com

Mail: Crews & Associates
 Front Office Institutional Support
 521 President Clinton Ave
 Suite 800
 Little Rock, Arkansas 72201

Please verify your process for T+1 Settlement both currently and starting May 28, 2024:

Question	Current Process	Future Process (if different)
How do you submit trades to your clearing Firm?		
How do you match and affirm trades?		
Do you (or will you) use DTCC Alert?		
Do you (or will you) utilize DTCC M2I?		
When will changes to your current processes be implemented?		

To prepare for the upcoming shortened timelines, we will need a contact name, phone number, and email address for the operations personnel who submit and communicate with your clearing firm. Please provide that information below.

Primary Contact Name (individual or group):

Primary Contact Email:

Primary Contact Phone:

Crews & Associates, Inc.

521 President Clinton Avenue, Suite 800 | Little Rock, AR 72201
 501.907.2000 (local) | 800.766.2000 (toll free) | 501.907.4000 (fax) | crewsfs.com